



Risk-Based Approach for AML Compliance Programme

Programme Code: HGCP00P23044

28 April 2023 (Friday)
7:00pm – 10:00pm

3 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

This course will provide technical implementation guidance to the risk-based approach for anti-money laundering (AML) compliance in banks, riding on two standards of proof: beyond reasonable doubt and balance of probability. The common enforcement procedures, including evidenced collection, fact reconstruction, risk appetite and judgment, will be explained. Lastly, the speaker will also cover the common issues observed in banks and recommend the corresponding sound practices.

Contents :

- Risk factors: product, customer and transaction
- Money laundering risk vs AMLO risk vs compliance risk
- Beyond reasonable doubt and balance of probability
- Evidence collection, fact reconstruction, risk appetite and judgment
- Risk stereotyping and de-risking
- Common issues and sound practices

Speaker Introduction

The speaker works for a financial technology firm providing advisory services and technology solutions to the crypto assets industry. He is also the Adjunct Professor of City University of Hong Kong, teaching the master course in financial technology and risk management.

Also, he's held the Doctor of Business Administration degree, CFA, CAIA, CAMS, FRM, PRM, CAMS, CFE, MCSE and MCNE qualifications, and frequently invited by professional organizations to deliver speeches on data science and crypto assets.

Target Audience

1. Banking Partitioners
2. Compliance, Regulatory and Frontline Staff
3. HKIB Professional Qualification holders :

CAMPL AAMPL CRWP ARWP
ACsP ACRP CORP AORP
CCRP(CL) CCRP(CPM)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

14 April 2023 (Friday)

Application DEADLINE

21 April 2023 (Friday)



APPLY NOW!

Enquiry :
(852) 2153 7800
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<https://hkib.org/page/185>

PRICE LIST

Early Bird

Regular Price

Individual Member
HKD
900

HKD990

Staff of Corporate Member
HKD
1,080

HKD1,190

Non-Member
HKD
1,200

HKD1,320